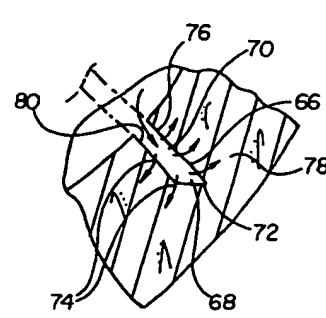


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US98/23396  <b>(22) International Filing Date:</b> 3 November 1998 (03.11.98)  <b>(30) Priority Data:</b> 60/064,210      4 November 1997 (04.11.97)      US 184,220        2 November 1998 (02.11.98)      US  <b>(71) Applicant:</b> SCIMED LIFE SYSTEMS, INC. [US/US]; One SciMed Place, Maple Grove, MN 55311-1566 (US).  <b>(72) Inventors:</b> ELLIS, Louis; 3004 Armour Terrace, St. Anthony, MN 55418 (US). HENDRICKSON, Gary, L.; 25216 184th Street, Big Lake, MN 55309 (US). HARRISON, Kent, D.; 15407 Elm Road North, Maple Grove, MN 55311 (US). WANG, Lixiao; 12822 86th Place North, Maple Grove, MN 55369 (US). MICKLEY, Timothy, J.; 21870 Xenon Street, Elk River, MN 55330 (US).  <b>(74) Agents:</b> SEAGER, Glenn, M. et al.; Crompton, Seager & Tufte, LLC, Suite 895, 331 Second Avenue South, Minneapolis, MN 55401-2246 (US).		<b>(81) Designated States:</b> CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> PERCUTANEOUS MYOCARDIAL REVASCULARIZATION GROWTH FACTOR MEDIUMS AND METHOD  <div style="text-align: center;">  </div> <b>(57) Abstract</b>  <p>The present invention is devices, and methods for increasing blood perfusion within the myocardium of the heart (20) by wounding the myocardium, and applying growth factors (34) to promote vascularization. One method includes driving spikes (66) into the myocardium from within the heart with the spikes (66) being formed of biodegradable material containing releasable growth factors (34) having lumens (70), and side holes (74). An alternative method promotes vascularization by injecting angiogenic material into holes (74) bored into the myocardium, and leaving a patent hole. Another method includes injecting angiogenic material into the myocardium without boring, and leaving no patent hole. The angiogenic material can be carried in a biodegradable adhesive. Revascularization of the myocardium is promoted in one method by externally wounding the heart, and applying a patch (90) including growth factors.</p>		

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## PERCUTANEOUS MYOCARDIAL REVASCULARIZATION GROWTH FACTOR MEDIUMS AND METHOD

### Cross Reference to Related Application

5           The present application claims the benefit of U.S. Provisional Application  
Serial No. 60/064,210, filed November 4, 1997 entitled "TRANSMYOCARDIAL  
REVASCULARIZATION GROWTH FACTOR MEDIUMS AND METHOD"  
and U.S. Patent Application Serial No. 08/812,425, filed March 6, 1997 and  
entitled "TRANSMYOCARDIAL REVASCULARIZATION CATHETER AND  
10   METHOD".

### Field of the Invention

          The present application is related to devices and methods for promoting  
blood circulation to the heart muscle. Specifically, the present invention is related  
15   to percutaneous myocardial revascularization (PMR) devices and methods.

### Background of the Invention

          A number of techniques are available for treating cardiovascular disease  
such as cardiovascular by-pass surgery, coronary angioplasty, laser angioplasty  
20   and atherectomy. These techniques are generally applied to by-pass or open  
lesions in coronary vessels to restore and increase blood flow to the heart muscle.  
In some patients, the number of lesions are so great, or the location so remote in  
the patient vasculature that restoring blood flow to the heart muscle is difficult.  
Percutaneous myocardial revascularization (PMR) has been developed as an

alternative to these techniques which are directed at by-passing or removing lesions. PMR is performed by boring holes directly into the myocardium of the heart.

PMR was inspired in part by observations that reptilian hearts muscle is  
5 supplied primarily by blood perfusing directly from within heart chambers to the heart muscle. This contrast with the human heart which is supplied by coronary vessels receiving blood from the aorta. Positive results have been demonstrated in some human patients receiving PMR treatments. These results are believed to be caused in part by blood flowing from within a heart chamber through patent  
10 holes formed by PMR to the myocardial tissue. Suitable PMR holes have been proposed to be burned by laser, cut by mechanical means, and burned by radio frequency devices. Increased blood flow to the myocardium is also believed to be caused in part by the healing response to wound formation, specifically, the formation of new blood vessels in response to the newly created wound.

15 What remains to be provided are improved methods and devices for increasing blood perfusion to the myocardial tissue. What remains to be provided are methods and devices for increasing blood flow beyond what has hitherto been possible with PMR.

20

#### Summary of the Invention

The present invention includes devices and methods for increasing blood circulation to the myocardium. Circulation can be increased through patent holes into the myocardium from a heart chamber and from new blood vessel growth.

New blood vessels can provide blood supplied from within a heart chamber, such as the left ventricle, and from pre-existing vessels in nearby healthy heart tissue. New vessel growth can be promoted by the healing response to wounds created in accordance with the present invention. New vessel growth can also be promoted  
5 by angiogenic substances supplied to the myocardium in accordance with the present invention.

One set of methods according to the present invention utilizes shafts such as tubes or spikes driven into the myocardium, preferably from within the heart, delivered by a catheter. The tubes preferably contain, or are coated with, an  
10 angiogenic substance capable of being released over time. These tubes can be biodegradable, being absorbed by the body, some embodiment tubes leaving a patent hole in the myocardium surrounded by the absorbed angiogenic material. Other PMR tubes are not biodegradable, but have lumens therethrough with side holes along the tube length, providing access to the myocardium from with the  
15 lumen. The non-biodegradable tube can be formed from a metal, polymeric or other bio-stable material. The non-biodegradable tubes are preferably coated with and contain releasable angiogenic material, promoting new vessel growth along the length of the tube, where the new vessels can be supplied with blood through the tube side holes. One method utilizes PMR spikes driven into the myocardium  
20 from outside the heart and can be performed during open heart surgery or during a minimally invasive procedure.

Another set of methods according to the present invention involves injecting angiogenic material into the myocardium. A preferred method includes

creating small bore holes or direct needle injection into the myocardium utilizing a catheter within the heart. After hole creation, a fluid, gel or adhesive carrying an angiogenic material is injected into the hole. As the angiogenic substance is absorbed into the myocardium, in one method, a patent hole remains surrounded  
5 by myocardial tissue treated with angiogenic material. In another method, the injection hole closes, leaving no patent hole. New vessel growth is promoted by both the healing response to the wound and by the angiogenic substance. Blood circulation to myocardial tissue is increased by both the presence of the patent hole and the presence of new blood vessels supplied by existing coronary vessels  
10 and the heart interior. An alternative method utilizes angiogenic material injected into the myocardium from the exterior of the heart, in conjunction with open heart surgery or during a minimally invasive procedure.

Yet another set of methods includes externally wounding the heart and applying an external patch containing angiogenic substance to the wound. The  
15 wound preferably penetrates into the myocardium. The healing response, enhanced by the angiogenic material, promotes new vessel growth near the wound. While the wound does not normally penetrate through to the heart chamber interior, new vessel formation can reach the chamber interior and also connect with pre-existing vessels in healthy heart muscle. A wound or series of  
20 wounds extending from healthy into hibernating tissue can create a network of vessels from healthy into hibernating tissue, supplying the hibernating tissue with blood. In another method, an external patch containing angiogenic material is applied to the heart without significant injury to the heart.

Angiogenic materials believed suitable for use with present invention include Vascular Endothelial Growth Factor (VEGF) and Fibroblast Growth Factors (FGFs). Carriers for the growth factors of the present invention include hydrogels. Adhesives suitable for binding the present invention include fibrin  
5   glues and cyanoacrylates.

#### Brief Description of the Drawings

Figure 1 is a perspective, cutaway view of a human heart having a PMR catheter inserted within, having punctured holes into the myocardium from within  
10   and filled the holes with angiogenic material;

Figure 2 is a partial cross-sectional schematic side view of a PMR catheter disposed within a guide tube;

Figure 3 is a partial cross-sectional view of the PMR catheter of Figure 3 in accordance with the present invention;

15       Figure 4 is a side cross-sectional view of a PMR spike according to the present invention, having a lumen and side holes;

Figure 5 is a perspective, cut-away view of a human heart having an external wound to the wall of the left ventricle;

20       Figure 6 is a perspective, cut-away view of the wounded heart of Figure 5 having angiogenic substance and patch applied;

Figure 7 is a cross sectional view of a catheter assembly in accordance with the present invention;

Figure 8 is a cross sectional view of a detailed catheter assembly of Figure 7;

Figure 9 is a cross sectional view of a catheter portion of the catheter assembly of Figure 7;

5        Figure 10 is a cross sectional view of a catheter tip showing a radiopaque marker disposed within the catheter;

Figure 11 is a view of the catheter tip of Figure 10 showing the radiopaque marker distal of the catheter tip;

10       Figure 12 is a view of the catheter tip of Figure 10 showing the radiopaque marker disposed within the myocardium;

Figure 13 is a longitudinal cross sectional view of an alternate embodiment of the catheter in accordance with the present invention;

Figure 14 is a proximal end view of an alternate embodiment of a manifold in accordance with the present invention;

15       Figure 15 is a distal end view of an alternate embodiment of a Luer fitting in accordance with the present invention; and

Figure 16 is a cross sectional view of an alternate manifold in accordance with the present invention.

20

#### Detailed Description of the Preferred Embodiments

Referring now to the drawings wherein like reference numerals indicate like elements throughout the several views, a human heart 20 having an aorta 22, a left ventricle 30, and a myocardium 28 is illustrated in Figure 1. A



percutaneous myocardial revascularization (PMR) catheter 24 is disposed within a guide catheter 26, which is disposed within aorta 22 and left ventricle 30. PMR catheter 24 has finished creating a series of holes or punctures 32 and filling them with a vascularization promoting, or angiogenic, substance 34. Preferred substances include Vascular Endothelial Growth Factor (VEGF), Fibroblast Growth Factor (FGF), and estrogen. Angiogenic substance 34 is preferably carried in a biodegradable substance, such as a hydrogel that is released over time. The carrier material can be adhered to secure the angiogenic substance in place and resist forces attempting to expel the materials into the heart chambers. In some embodiments, the carrier material includes radiopaque material. The radiopaque material allows visualization of hole depth and expelled or washed out material. After degradation of the biodegradable material, holes 32 can remain patent while the angiogenic material has promoted blood vessel growth near the hole walls and into the myocardium. Holes 32 can also close, leaving no patent hole but leaving newly formed blood vessels. The inventors believe the new vessel growth will be significantly enhanced by the angiogenic substance, thereby increasing blood flow from inside the left ventricle to the myocardium of the left ventricle. New vessel growth is expected to join with other vessels at anastomoses, forming a larger network of blood vessels in the myocardium supplied by blood from the left ventricle and blood from other vessels supplied by coronary arteries.

Figure 2 is a partial cross sectional schematic side view of one embodiment of catheter 24 including an outer shaft 36 and inner shaft 38. Inner

shaft 38 preferably includes a distally disposed cutting tip 40 having an opening 42 in fluid communication with a lumen 52 through tip 40. Inner shaft 38 preferably includes a proximal shaft portion 44 and a more flexible distal shaft portion 46. Inner shaft 38 is connected to a motor 48 for rotation of inner shaft 38 about the longitudinal axis thereof relative to outer shaft 36 which is fixed against rotation. Motor 48 is connected to a power supply shown schematically as a battery 50. The spacing between outer shaft 36 and inner shaft 38 should be enough to allow sufficient rotation of inner shaft 38 relative to outer shaft 36. Rotation is desirable as rotation acts to obliterate myocardial material within cutting tip 40, leaving a large diameter, patent hole. In one method, inner shaft 38 is not rotated relative to outer shaft 36, allowing injection of angiogenic material without leaving a large diameter myocardial hole. Inner shaft 38 is slidable longitudinally relative to outer shaft 36.

Figure 3 is a side and partial cross sectional view of inner shaft 38 of PMR catheter 24 shown schematically in Figure 2. Cutting tip 40 which is preferably a hypodermic needle tip having a distally disposed cutting edge 42 at an acute angle to the longitudinal axis of inner shaft 38. Tip 40 defines a lumen 52 in fluid communication with opening 42. Lumen 52 and opening 42 can be used to carry angiogenic materials and adhesives through tip 40, injecting it in a hole recently bored by tip 40. In some embodiments, radiopaque material is included with the angiogenic material. Radiopaque material allows confirmation of successful injection, visualization of hole depth, and visualization of any material expelled or washed from the hole. Although tip 40 is preferably formed from a hypodermic

needle tip, it may be formed from other suitably durable and biocompatible materials as known to those skilled in the art. Tip 40 can have an outside diameter of, for example, 0.036 inches.

Proximal shaft 44 is preferably formed from a stainless steel hypotube  
5 which is more rigid than distal shaft 46. Shaft 44 defines a lumen 56 extending longitudinally therethrough. Proximal shaft 44 preferably extends the substantial majority of the length of inner shaft 38, to enhance the pushability and torqueability of inner shaft 38. It should be understood that although hypotube construction is preferred for proximal shaft 44, shaft 44 could be formed in the  
10 same manner as distal shaft 46 as described in more detail below or from another sufficiently torqueable and pushable construction as known in the art.

Distal shaft portion 46 is preferably more flexible than proximal shaft 44 to enhance trackability of inner shaft 38 proximate cutting tip 40. Distal shaft 46 can be formed from a helical coil 58 defining an elongate lumen 60 therethrough  
15 in fluid communication with lumen 56 of proximal shaft 44 and lumen 52 of cutting tip 40. Coil 58 can be surrounded by a polymer sheath 62. Sheath 62 may be PTFE, a shrink wrap or other similar biocompatible material known to those skilled in the art. The inside coil 58 forming the lumen wall of lumen 60 can be similarly coated. Shaft 46 can also be formed from super elastic alloy such as  
20 Nitinol.

Tip 40 and proximal shaft 44 can be connected to distal shaft 46 by two short tubular segments 64 and inserted within lumens 56 and 60, and 56 and 52, respectively. Tubular segments 64 can be small diameter hypotube segments or

other sufficiently durable and biocompatible tubular members defining lumens in fluid communication with lumens 52, 56 and 60. An adhesive, braze or swage can be used to attach segments 64 to shafts 44 and 46 and tip 40.

In use, cutting tip 40 of inner shaft 38 can be delivered intravascularly to the heart wall and myocardium by a catheter tube or tubes. In one embodiment, once cutting tip 40 has been brought into contact with the heart wall, motor 48 can be activated to rotate cutting tip 40 and consequently blade 42. By further advancing cutting tip 40 into the myocardium of the heart, tissue in the path of the rotating blade will disintegrate. The disintegrated tissue can be aspirated through the lumen 52 extending through inner shaft 38. It can be appreciated that cutting tip 40 can penetrate the myocardium without being rotated. However, disintegration of tissue will generally not occur without rotating of tip 40.

Generally, the hole depth will be between  $1/3$  and  $3/4$  the thickness of the heart wall. The specific hole depth will be determined on a case by case basis for each patient. Ultrasonic techniques may be used to view the patient's heart to determine the appropriate depth of the holes. In one method, radiopaque material is injected into the hole, in part to determine hole depth. A hole can be cut or formed, followed by radiopaque material injection and hole depth visualization using a method such as fluoroscopy. The cutting, radiopaque injection, and depth visualization cycle can be repeated until the desired hole depth is achieved. The depth of the holes will be generally proportional to the depth of penetration of cutting tip 40 into the myocardium. The rotation rate of cutting tip 40 may vary upon the character of the heart tissue encountered but should be rapid enough to

disintegrate the tissue in the path of the cutting tip. The imaging agent can be incorporated into or mixed with a growth factor drug.

Inner shaft 38 and lumen 52 can be used to deliver angiogenic substances and adhesives and other carriers within the myocardium while tip 40 is still in place. In a preferred method, adhesives cure after leaving the tip. One method uses moisture cured adhesives. Once the angiogenic material has adhered to the walls of the hole bored into the myocardium, the angiogenic substance is more likely to remain in place within the myocardium. Adhesives preferably bind angiogenic material to the hole walls with a strength sufficient to resist immediate expulsion from the hole while being degradable or absorbable so as to allow diffusion of angiogenic material from the adhesive and absorption of angiogenic material into the myocardium. Inclusion of a fluoroscopic agent or radiopaque material with the angiogenic material can aid in visualizing expelled material.

Referring now to Figure 4, a PMR tubular implant or spike 66 is illustrated implanted within a myocardial region 78. Tube 66 can be either biodegradable or permanent. PMR tubes or spikes are believed to operate by several mechanisms. First, driving the tubes into the myocardium is believed to trigger a healing response, including neovascularization. Second, the tubes can deliver angiogenic agents to the myocardium over a period of time. This can operate to promote new vessel growth in conjunction with, and apart from, the healing response. The delivery of growth factors can also speed the healing response generally, minimizing any adverse reaction to the implanted tube. Third, tubes having

lumens and side holes can provide a hole within the tube for blood flow into the myocardium through the tube.

A preferred embodiment tube has a circular cross section, a lumen 70, side holes 74, proximal port 80 and distal port 68, allowing blood flow through proximal port 80, lumen 70, side holes 74, distal port 68 and into myocardial tissue. Tube 66 is preferably formed of a biodegradable polymeric material, discussed further below. One embodiment has tubular walls porous to blood passage rather than larger, discrete holes. Another embodiment has no lumen and is formed of time-released growth factors embedded in biodegradable material, such that the angiogenic substance is delivered within the myocardial tissue and the tube dissolves, possibly leaving a patent hole surrounded by tissue treated with angiogenic substance. While the preferred tube embodiment has a round cross-section, other embodiments have triangular, square, and elongate rectangular cross sections. Tube 66 preferably has a cutting tip 72 for easing insertion into the myocardium. Tubes can also have barbed outer surfaces to aid in retaining the spike within the myocardium. The tube can include radiopaque material as an aid in visualizing fluoroscopically where tubes have already been implanted. The tubes can be implanted in a pattern, leading from healthy tissue to hibernating tissue, creating a network of new blood vessels carrying both blood supplied from coronary arteries and the heart chamber itself.

The tube can be delivered through the lumen of a catheter from within a heart chamber. Tubes can also be delivered externally into the myocardium, either during open heart surgery or during a minimally invasive procedure. Tubes

delivered externally preferably have lumens, side holes, closed proximal ends to minimize blood loss, and outside surface barbs to lessen the risk of the tube being expelled from the heart muscle. In a minimally invasive procedure, the PMR tubes can be injected through an elongate catheter into the pericardium. The PMR  
5 tubes can be injected entirely through the myocardium or can stop short of such penetration. The inventors believe the externally inserted PMR tubes can significantly promote vascularization within the myocardium, even where the PMR tubes do not penetrate through to the endocardium.

Referring now to Figure 5, another procedure for promoting  
10 vascularization within the myocardium is illustrated. Heart 20 has a wound 84 external to the left ventricle. Wound 84 is formed of a series of incisions 86 through the pericardium into the myocardium. In one method, the wounds are formed as a series of needle punctures rather than wedge like incisions as illustrated in Figure 5. Referring now to Figure 6, after wound formation,  
15 angiogenic substances, wound healing agents, and growth factors can be applied to the wound, promoting a healing response. Growth factors and healing agents may be included to promote healing of the wounds to the heart, desirable, even apart from the angiogenic goal. A patch 90 can then be applied over the wound, holding the angiogenic substance in place over the wound. In one embodiment,  
20 the patch is held in place with adhesives.

Patch 90 is preferably biodegradable, capable of being absorbed by the body after it is no longer needed. One patch includes a reservoir of angiogenic

substance that leaches out the inside of the patch, supplying more wound healing and angiogenic compound over time.

Externally wounding the heart and applying a patch can be performed in conjunction with open heart surgery, where the heart is available for such a procedure. Minimally invasive procedures can also be used to access the heart for externally wounding the heart, creating wound and applying patch through a relatively small opening. Applicants believe externally wounding the heart triggers a healing response within the myocardium, including the formation of new blood vessels to the wounded area. While the incisions preferably do not penetrate through the entire thickness of the chamber wall, applicants believe the incisions within the myocardium trigger blood vessel growth within the myocardium which can be supplied by vessels supplied from within the heart chamber and supplied by coronary vessels.

A variety of angiogenic substances and growth factors can be used in accordance with the present invention. Growth factors such as Fibroblast Growth Factor (FGF, FGF-1, FGF-2), Vascular Endothelial Growth Factors (VEGF) (all constructs including VEGF-2) and Endothelial Mitogenic Growth Factors are among the growth factors preferred for use with the present invention. Angiogenic substances such as estrogen, including estradiol(E2), estriol(E3) and 17-Beta Estradiol are also believed suitable for use with the present invention. Estrogen is believed to induce angiogenesis and increase permeability. This provides increased local blood circulation through neovascularization. Gene transfer into the heart tissue can be done as well.



Biodegradable polymers suitable for use in the present invention include: poly(L-lactide) (PLLA), poly(D,L-lactide) (PLA), poly(glycolide) (PGA), poly(L-lactide-co-D.L. Lactide) (PLLA/PLA), poly(L-lactide-co-glycolide) (PLA/PGA), poly(glycolide-co-trimethylene carbonate) (PGA/PTMC), polydioxanone (PDS),  
5 polycaprolactone(PCL), polyhydroxybutyrate (PHBT), poly(phosphazenes), poly(D,L-lactide-co-caprolactone) (PLA/PCL), poly(glycolide-co-caprolactone) (PGA/PCL), poly(phosphate ester) and polyanhydrides. Other materials suitable for mixing with growth factors include: hydrogels, polyethylene oxide and its copolymers, polyvinylpyrrolidone, polyacrylates, polyesters, gelatins, collagens,  
10 proteins, sodium alginate, karaya gum, guar gum, agar, algin, carrageenans, pectins, xanthan, starch based gums, hydroxyalkyl and ethyl ethers of cellulose, sodium carboxymethyl cellulose, polyvinyl alcohol, and hydrophilic polyurethanes.

A variety of adhesives are suitable for the present invention, both for  
15 adhering a patch over a heart wound, and for retaining angiogenic material within a wound. One adhesive is a hydrogel composed of gelatin and poly(L-glutamic acid)(PLGA). The hydrogel is formed by chemically cross linking gelatin and poly(L-glutamic acid). Another adhesive is fibrin glue. One suitable fibrin glue includes fibrinogen, thrombin, calcium chloride and factor VIII. Another family  
20 of adhesives is cyanoacrylates. Preferred cyanoacrylates include butyl-2-cyanoacrylate (Histoacryl), ethyl-2-cyanoacrylate, and octyl-2-cyanoacrylate. Gelatin-resorcinol formaldehyde-glutaraldehyde is another suitable adhesive.

Applicants believe many polymers having suitable adhesive properties can also be utilized, including without limitation: polyurethanes having amino groups, di- and tri-functional diols; polyvinyl acetates; polyamides; polyvinyl alcohols; polystyrenes; polylactides; polylactones; block co-polymers including polyesters, polyamides, and polyurethanes; and combinations and mixtures thereof.

Growth factors, angiogenic substances and biodegradable carriers and adhesives can be applied internally to punctures within heart chamber walls, externally to external heart wounds, and incorporated into tubes or spikes for implantation into the heart myocardium.

Figure 7 is a schematic view of a PMR catheter assembly 110 including a dosage actuator gun 112 and catheter 114. Gun 112 includes a body 116. Slidably disposed within body 116 and schematically shown in Figure 7 is a syringe 118, slider body 120 and plunger 124. Syringe 118, slider body 120 and plunger 124 are slidable proximally and distally as shown by the arrows adjacent these respective elements. Trigger 122 is pivotally connected about pin 123 to body 116. It can be appreciated that those skilled in the art of mechanical design could readily fashion a gun 112 based on the schematic description herein.

Catheter 114 includes an inner tubular shaft 126. Inner tubular shaft 126 includes a sharpened distal end 127. Like syringe 118, slider 120 and plunger 124, inner shaft 126 can be moved proximally or distally as shown by the arrows. More particularly, inner shaft 126 can be moved from a proximal position A wherein tip 127 is disposed within an outer shaft 129 of catheter 114 to a second position B, wherein tip 127 is disposed distally of outer shaft 129.

Syringe 118 includes an inner chamber 130 for containing a dosage of a drug or other fluid. Disposed at the distal end of plunger 124 is a plunger seal 132. Syringe 118 including plunger seal 132 preferably are prepacked to contain a quantity of drug, agent or other fluid prior to placement in gun 112. Syringe 118 includes a Luer fitting 128 or similar attachment device to fluidly connect inner shaft 126 to syringe 118. The proximal ends of plunger 124 includes a handle 134. Handle 134 can be used to rotate plunger 124 about its longitudinal axis within housing 116. Plunger 124 includes a plurality of teeth 136 extending therefrom. Syringe 118 also includes a cradle 138 in which is disposed a one-way plunger lock 140 which is biased toward plunger 124 by spring 142. Lock 140 includes one or more teeth having a slope which allows teeth 136 of plunger 124 to be advanced distally thereover, but engages with teeth 136 to prevent plunger 124 from being withdrawn proximally. Plunger 124 can, however, be withdrawn proximally if it is rotated about its longitudinal axis by using handle 134 such that teeth 136 are disposed away from lock 140, for example, pointed upward rather than downward as shown in Figure 7.

Slider 120 includes a syringe restraint member 144 which includes a surface engageable with cradle 138 of syringe 118. A plunger advancing member 146 is pivotally attached to slider 120 by pin 148. A spring 150 biases advancing member 146 toward a vertical position as shown in Figure 7. As slider 120 moves proximally relative to plunger 124, advancing member 148 pivots downwardly as shown by the arrow allowing slider 120 to move proximally relative to plunger 124. Advancing member 146, however, will pivot back to the vertical position

after passing over a tooth 136 and be braced in the vertical position by slider 120 to engage the vertical proximal side of one of the teeth 136. Slider 120 includes a slot 152. A pin 156 extends through an end of trigger 122 and is slidable within slot 152. A spring 158 biases trigger 122 into the position shown. Trigger 122 is, however, pivotable in the direction shown by the arrow about pin 123 between the position shown and an adjustment screw 160. Adjustment screw 160 has a distal end 161 which is engageable with trigger 122 to limit the pivoting of trigger 122 in a clockwise direction about pin 123.

Catheter 114 includes a preferably radiopaque, atraumatic hood 163 at its distal end and a manifold 164 at its proximal end. Manifold 164 includes a port 166 for infusion or withdrawal of fluids from catheter 114 through a lumen defined between inner shaft 126 and outer shaft 129. Manifold 164 also includes a flange 168 engageable with a portion of body 116 to connect body 116 to catheter 114.

Figure 8 is a cross sectional view of a portion of the catheter assembly 110 including a portion of catheter 114 including syringe 118. Luer fitting 128 includes a threaded portion 170 engageable with a threaded portion 172 of a compatible Luer fitting 174 connected to inner shaft 126. Syringe 118 defines an inner shaft receiving lumen 175 sealed with polymer or rubber seal 176 which can be punctured by a sharpened distal end 167 of inner shaft 126 when threads 162 are advanced into threads 170. A fluid connection thus results between the lumen through inner shaft 126 and chamber 130. Flange 168 can be part of a seal 171 which creates a substantially fluid tight seal between inner shaft 126 and manifold

164 while allowing inner shaft 126 to move proximally and distally in the direction as shown by the arrow within manifold 164 of outer shaft 129. A spring 178 biases inner shaft 126 distally relative to manifold 164 and outer shaft 129. The travel of inner shaft 126 distally is limited by engagement of a stop disc 180  
5 with stop ring 182 of manifold 164.

Figure 9 is a cross sectional view of a preferred embodiment of catheter 114 including inner shaft 126 and outer shaft 129. As shown in Figure 9, outer shaft 129 is connected by suitable heat adhesive to manifold 164. Outer shaft 129 includes a proximal portion 184 which is preferably a co-braided member having,  
10 for example, an inner and outer layer of PEBA and a stainless steel reinforcing braid disposed therebetween. Outer shaft 129 also preferably includes a distal portion including a spring coil 188 and an outer polyethylene sheath 186. Connected to the distal end of sheath 186 is hood 163. Hood 163 is preferably formed from an atraumatic material and can include radiopaque material to  
15 enhance visibility by fluoroscopy. Inner tube 126 preferably includes a proximal portion 190 which can be formed from, for example, heat treated stainless steel and a distal portion 192 which is preferably formed from a Nitinol hypotube. A needle 194 having a distal tip 127 is preferably attached to the distal end of distal tube 192 by a swage collar 196, which may be radiopaque, can engage with hood  
20 163 to limit the distal travel of inner shaft 126 relative to outer shaft 129.

It can be appreciated by those skilled in the art that there are numerous materials which can be advantageously used to construct the apparatus disclosed

herein. These material should be selected in view of the use to which the apparatus are put.

In use, catheter 114 can be advanced into a chamber of the heart, for example, the left ventricle through a femoral, brachial or carotid artery approach  
5 similar to catheter 24 of Figure 1. Hood 163 of catheter 114 is brought into contact with the endocardium of the chamber at a selected location. Chamber 130 of syringe 118 is preferably preloaded with a drug or growth factor of the type, for example, as described previously. Trigger 122 is then pivoted in a clockwise direction from the position shown in Figure 7 toward set screw 160. This will  
10 cause slider 122 to slide in a distal direction. When trigger 122 is in the position shown in Figure 7, tip 127 of inner shaft 126 will be in position A, withdrawn into outer shaft 129. As slider 120 is slid distally, however, restraint member 144 will also advance distally allowing syringe 118, inner shaft 126 and tip 127 to advance distally under the influence of spring 178 such that distal tip 127 will be disposed  
15 in position B distally of hood 163. When tip 127 is in position B, stop plate 180 will engage stop ring 182 as shown in Figure 8. When tip 127 is in position A, however, plate 180 will be spaced proximally from ring 182.

Substantially simultaneously with the advancement of tip 127 from position A to position B, plunger advancing member 146 will engage the  
20 proximal side of one of the teeth 136 and advance plunger 136 distally into reservoir 130 to advance a dosage of drug or growth factor through inner shaft 126 and out tip 127 which, in position B would be disposed within the myocardium. The amount of the dosage can be regulated by limiting the travel of

trigger 122 by adjusting screw 160. It can be appreciated that ring 182 should limit the travel in the distal direction of inner shaft 126 and syringe 118 to a distance less than the distal travel of plunger 124 such that there can be relative advancement of plunger 124 into chamber 130 to advance drug or growth factor  
5 through inner shaft 126.

When trigger 122 is released, it will pivot in a counterclockwise direction back to the position shown in Figure 7 under the influence of spring 158. As trigger 122 pivots in a counterclockwise direction back to its original position, plunger 124, and advancing member 146 pivots in a clockwise direction to slide  
10 over the slopped proximal end of one or more teeth 136. Syringe 118 and inner shaft 126 are slid proximally by the engagement of member 144 with cradle 138. Once trigger 122 has attained its original position, the trigger can be pulled again to advance tip 127 and deliver another dosage of drug or growth factor to the myocardium.

15 Figure 10 is a side view of an alternate distal portion of a catheter 114. In this embodiment, catheter 114 includes a hood 263, which like hood 163 is preferably made from atraumatic material and can include radiopaque material to enhance visibility by fluoroscopy. Hood 263, however, includes a larger diameter opening 265. Disposed just proximal hood 263 is a annular shaped brush 198  
20 which is in contact with and surrounds needle 194. Disposed loosely on needle 194 is a radiopaque marker band 200 which can be formed from a suitable metal or bio-stable or biodegradable material loaded with a radiopaque agent. Hood

263, as shown in Figure 10, is disposed against the endocardium proximate myocardium 28.

In Figure 11, needle 194 has been advanced distally beyond the distal end of hood 263 such that radiopaque marker 200 is disposed within myocardium 128.

5 In Figure 12, needle 194 has been withdrawn proximally into catheter 114 while marker 200 remains behind in myocardium 28.

Marker 200 can be used for mapping purposes, for example, to define a target zone or perimeter for subsequent PMR treatment. Marker 200 can also be used chronically for future PMR treatments, diagnosis and monitoring. Marker  
10 200 can also be coated or impregnated with a growth factor, drug or other therapeutic agent.

Figure 13 is a longitudinal cross sectional view of catheter 114 including an alternate manifold 264 and alternate distal tube 292. Alternate distal tube 292 is preferably formed from Nitinol hypotube. Tube 292 preferably has a distal tip  
15 227 in the form of a lancet tip which reduces coring of myocardial tissue during use. Each of the tips disclosed in the embodiments described above could also be lancet tips. The tips are preferably 30 to 23 gage, although larger or smaller diameter tips can be used.

Manifold 264 includes a flange 302 which could be used to engage a  
20 portion of gun body 116 in a manner similar to that of flange 168 of manifold 164 described above. Within manifold 262 includes a plurality of steps 304, 305 and 306 disposed therein. Disposed proximate the proximal end of proximal portion 190 of inner shaft 126 is an alternate embodiment of a Luer fitting 274, which can



engage Luer fitting 128 of syringe 118 of gun 116. Luer fitting 274 includes threads 272. At the distal end of Luer fitting 274 is a step engaging member 300. A spring 278 is fixed at its proximal end within manifold 274 and at its distal end to Luer fitting 274. Spring 278 is biased to draw Luer fitting 274 distally.

5           Figure 14 is a view of the proximal end of manifold 264 taken from Figure 13. Steps 304, 305 and 306 can be seen within manifold 264. It can be appreciated by reference made to Figure 13 that each of the steps is disposed successively more distally than the preceding step.

          Figure 15 is a view of the distal end of Luer fitting 274 taken from Figure  
10   13. Elongate step engaging member 300 can be seen. It can be appreciated that if step engaging member 300 has a length approximately equal to, but less than the inside diameter of manifold 264, it can slide within manifold 264 to engage against steps 304, 305 or 306 depending upon the angular position of step engaging member 300 about the longitudinal axis of catheter 114. The more  
15   distally disposed the step within manifold 264, the more distally step engaging member 300 can move within manifold 264 prior to engaging a given step.

          It can be appreciated that the further engaging member 300 advances distally, the further inner shaft 126 and thus distal tip 227 will advance distally. Thus, using manifold 264 and Luer fitting 274, the depth of penetration into the  
20   heart wall of tip 227 can be controlled. It is, in fact, contemplated that the depth of penetration could be adjusted such that tip 222 would completely penetrate the heart wall to dispose tip 227 between the epicardium and the pericardial sac. If

tip 227 is disposed in such a location, catheter 114 could be used to deliver drugs, growth factors or other therapeutic agents or fluids within the pericardial sac.

It can be appreciated that the distal movement of inner shaft 126 can be limited by steps 304, 305 or 306 or manifold 264, by configuration as shown with respect to manifold 164 above, or by swage 196 engaging hood 163 as shown in Figure 9. By relying on a stop disposed at the distal end of catheter 114, the depth of penetration of inner shaft tip 127 into the myocardium can be more consistently controlled. This is facilitated by making the length of inner shaft 126 sufficiently long that regardless of the bending of catheter 114 along the atrial access path, swage 196 will engage hood 163 when shaft 126 is advanced distally. It can also be appreciated that a variable depth control device such as that described with connection to manifold 264 could be disposed at the distal end of catheter 114.

Figure 16 is a cross sectional view of an alternate embodiment of a manifold 350 in accordance with the present invention. Manifold 350 can be disposed at the proximal end of outer shaft 129. Inner shaft 126 can extend through outer shaft 129 into manifold 350. Manifold 350 preferably includes a housing 352 having on one side a lever arm 354 extending therefrom, in a proximal direction. Lever arm 354 is preferably disposed adjacent an elongate, longitudinally extending groove 356 defined in housing 352. Lever arm 354 preferably extends over an area 358 between lever arm 354 and groove 356. Lever arm 354 preferably includes a restraining notch 360 disposed distally of its proximal end 362. A strut 364 extends from lever arm 354 proximate distal end 362. Housing 356 is preferably formed from a polymer or other materials as

known to those skilled in the art. The material from which lever arm 354 is formed is preferably elastic enough that it can be bent or pressed inwardly toward groove 356.

Slidably disposed within housing 352 is a fitting 366 which can be a Luer fitting having threads 368. Fitting 366 is slidable proximally and distally in the direction shown by the arrows. Fitting 366 is preferably connected at its distal end to inner shaft 126 and preferably defines a lumen 174 extending therethrough in fluid communication with the lumen through inner shaft 126. The catch arm 370 extends in a distal direction to its distal end 372. Fitting 366 is preferably formed from a polymer or other material known to those skilled in the art. The material from which catch arm 370 is formed is preferably elastic enough that arm 370 can be pressed inwardly toward groove 356.

A helical spring coil 376 is preferably disposed around a portion of inner shaft 126. Spring 376 has a proximal end and a distal end. The proximal end of spring 376 is connected to the distal end of fitting 366 and the distal end of spring 376 is connected to an anchor 378 extending from housing 352. As shown in Figure 16, spring 376 has been elongated by pulling fitting 366 proximally such that distal end 372 of catch arm 370 engages notch 360 of lever arm 354 and strut 364 of arm 354 rests against a portion of catch 370. In this configuration, fitting 366 is in a first position disposed proximally within housing 352 such that distal end 127 of inner shaft 126 is disposed within outer shaft 129. Fitting 366 can be released from the first position and moved proximally by depressing proximal end 362 of lever arm 354 toward catch 370. The distal end of catch arm 372 will then

disengage from notch 360 such that catch arm 370 moves into space 358 as fitting 366 moves distally to a second position as spring 376 recoils. In the second position, distal end 127 of inner shaft 126 extends beyond hood 163 of outer shaft 129 (as shown in Figure 9). The amount of travel distally into the second position  
5 is limited by engagement of swage 196 with hood 163.

Numerous characteristics and advantages of the invention covered by this document have been set forth in the foregoing description. It will be understood, however, that this disclosure is, in many respects, only illustrative. Changes may be made in details, particularly in matters of shape, size, and arrangement of parts  
10 without exceeding the scope of the invention. The invention's scope is, of course, defined in the language in which the appended claims are expressed.

What is claimed is:

1. A method for promoting vascularization of the myocardium comprising the steps:

providing a patch including growth factors;  
wounding the myocardium through the pericardium; and  
applying said patch to said wound.

2. A method for promoting vascularization on the myocardium as recited in claim 1, wherein said wounding step includes making a plurality of incisions through said pericardium into said myocardium.

3. A method for promoting vascularization on the myocardium as recited in claim 1, wherein said wounding step includes making a plurality of punctures through said pericardium into said myocardium.

4. A method for promoting vascularization on the myocardium as recited in claim 1, wherein said growth factors are selected from the group consisting of Vascular Endothelial Growth Factor, Fibroblast Growth Factor and estrogen.

5. A device for increasing myocardial blood perfusion comprising:  
a shaft having an outside diameter and a length, said length being sufficient to penetrate from the endocardium into the myocardium.

6. A device for increasing myocardial blood perfusion as recited in claim 5, wherein said shaft is formed of biodegradable material.
7. A device for increasing myocardial blood perfusion as recited in claim 5, wherein said shaft includes a lumen.
8. A device for increasing myocardial blood perfusion as recited in claim 7, wherein said shaft lumen has walls, said walls having holes therethrough.
9. A device for increasing myocardial blood perfusion as recited in claim 7, wherein said shaft has a sharp distal end capable of puncturing said myocardium.
10. A device for increasing myocardial blood perfusion as recited in claim 7, wherein said shaft includes vascularization promoting materials.
11. A device for increasing myocardial blood perfusion as recited in claim 10, wherein said vascularization promoting materials are selected from the group consisting of growth factors and estrogen.
12. A method for promoting blood perfusion within the myocardium of a heart chamber comprising the steps:

providing a plurality of spikes having a length, said length being sufficient to penetrate into said myocardium; and

driving a plurality of said spikes into said myocardium.

13. A method as recited in claim 12, wherein said spikes include radiopaque material.

14. A method as recited in claim 12, wherein said spikes are formed of biodegradable material.

15. A method as recited in claim 14, wherein said spikes include vascularization promoting material.

16. A method as recited in claim 12, wherein said spikes have lumens including a lumen wall having holes therethrough.

17. A method as recited in claim 16, wherein said spikes include vascularization promoting material.

18. A method as recited in claim 16, wherein said spikes are formed of biodegradable material.

19. A method as recited in claim 16, further comprising providing an elongate tubular device having a distal region and being capable of driving said spikes into said myocardium from within said heart,

wherein said spike driving step is accomplished by positioning said tubular device distal region within said heart chamber and driving said spikes utilizing said tubular device.

20. A method for promoting blood perfusion within the myocardium of a heart chamber comprising the steps:

providing a compound including vascularization promoting material;

providing a device capable of puncturing the endocardium of a heart chamber through to the myocardium and capable of injecting said compound into said myocardium;

positioning said device within said heart chamber;

puncturing said myocardium; and

injecting said compound into said myocardium.

21. A method for promoting blood perfusion within the myocardium of a heart chamber as recited in claim 20, wherein said compound includes radiopaque material.



22. A method for promoting blood perfusion within the myocardium of a heart chamber as recited in claim 20, wherein said puncturing step removes myocardial material.

23. A method for promoting blood perfusion within the myocardium of a heart chamber as recited in claim 22, wherein said vascularization promoting material is selected from the group consisting of growth factors and estrogen.

24. A method for promoting blood perfusion within the myocardium of a heart chamber as recited in claim 22, wherein said compound includes an adhesive.

25. A method for promoting blood perfusion within the myocardium of a heart chamber as recited in claim 24, wherein said adhesive is selected from the group consisting of fibrin glues, cyanoacrylate and hydrogels.

26. A method for promoting blood perfusion within the myocardium of a heart chamber comprising the steps:

providing a compound including vascularization promoting material;

providing a device capable of puncturing the exterior of a heart chamber through to the myocardium and capable of injecting said compound into said myocardium;

positioning said device external to said heart chamber;

puncturing said myocardium; and  
injecting said compound into said myocardium.

27. A method for promoting blood perfusion within the myocardium of a heart chamber as recited in claim 26, wherein said compound includes an adhesive.

28. A method for promoting blood perfusion within the myocardium of a heart chamber as recited in claim 27, wherein said vascularization promoting material is selected from the group consisting of growth factors and estrogen.

29. A method for promoting blood perfusion within the myocardium of a heart chamber as recited in claim 24, wherein said compound includes radiopaque material.

30. A method for promoting blood perfusion within the myocardium of a heart chamber comprising the steps:

providing a compound including vascularization promoting material and radiopaque material;

providing a device capable of forming a hole in the endocardium of a heart chamber through to the myocardium and capable of injecting said compound into said myocardium;

positioning said device within said heart chamber;

forming said hole a depth into said myocardium;  
injecting said compound into said myocardium;  
visualizing said hole depth by visualizing said radiopaque material; and  
repeating said hole forming, injecting, and visualizing steps as required to  
achieve a desired hole depth.

31. An injection device, comprising:  
an elongate outer shaft having a proximal end and a distal end, and a  
lumen extending therethrough;  
an inner shaft having a proximal end and a distal end, the inner shaft  
disposed within the lumen of the outer shaft, the inner shaft having a lumen  
extending therethrough;  
means for biasing the distal end of the inner shaft distally from a first  
position within the outer shaft to a second position distal of the outer shaft; and  
means for limiting the distal travel of the inner shaft beyond the distal end  
of the outer shaft.

32. The device in accordance with claim 31, wherein the inner shaft  
includes a NiTi alloy distal shaft segment having a distal end.

33. The device in accordance with claim 32, wherein the distal end of  
the distal shaft segment includes a lancet tip.

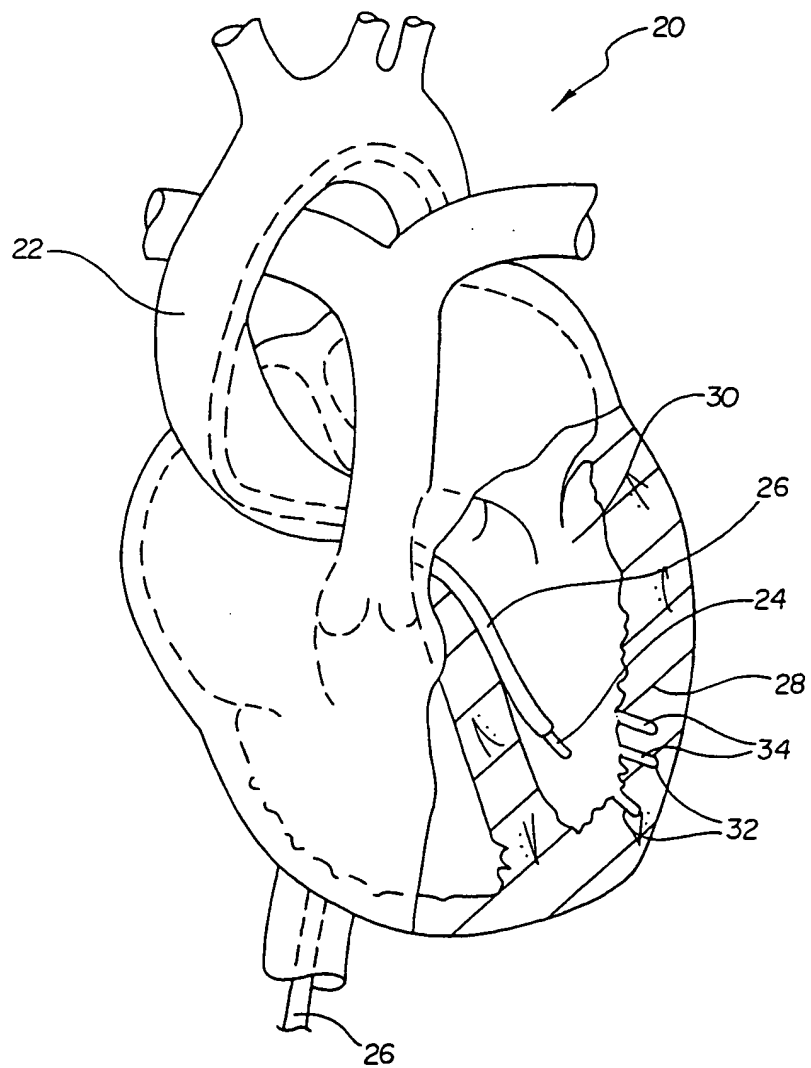
34. The device in accordance with claim 31, wherein the means for limiting the distal travel of the inner shaft includes means for adjusting the magnitude of the limitation of distal travel of the inner shaft beyond the distal end of the outer shaft.

35. The device in accordance with claim 31, further comprising means for restraining the inner shaft in the first position.

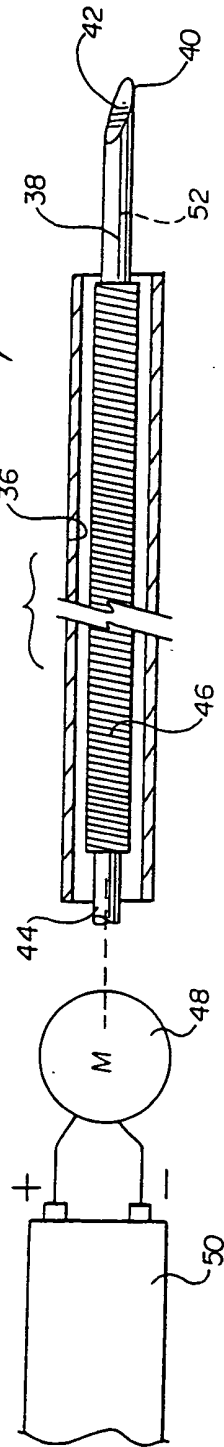
36. The device in accordance with claim 35, further comprising means for releasing the inner shaft from the first position to move toward the second position under the influence of the bias.

37. The device in accordance with claim 36, further comprising a syringe connected to the proximal end of the inner shaft, the syringe including a plunger and the means for releasing the inner shaft also including means for advancing the plunger.

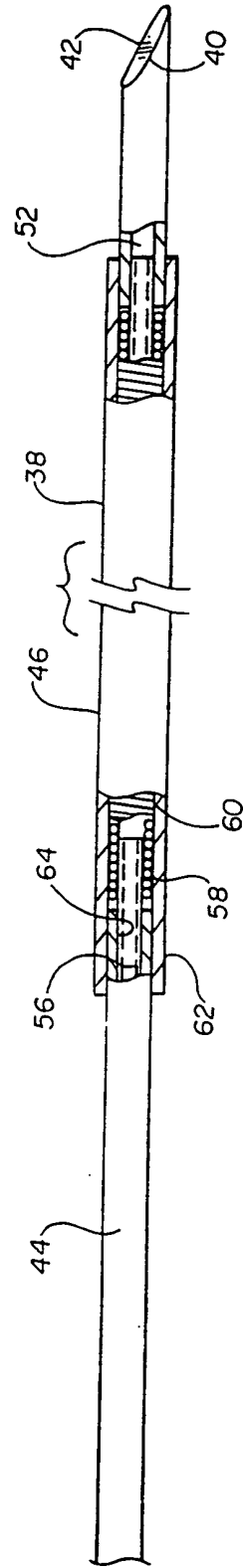
38. The device in accordance with claim 37, wherein the means for advancing the plunger includes means for selectively advancing the plunger.

**Fig. 1**

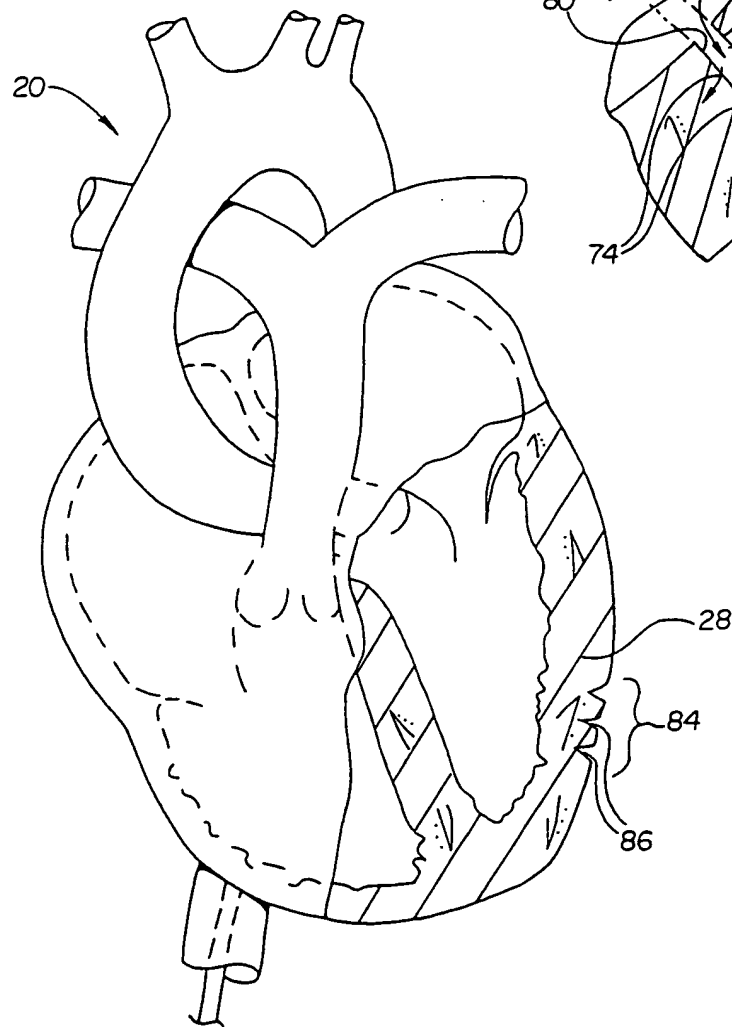
*Fig. 2*



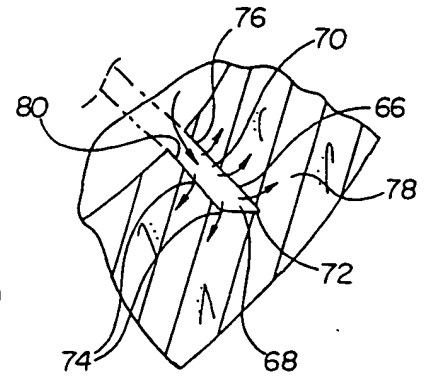
*Fig. 3*



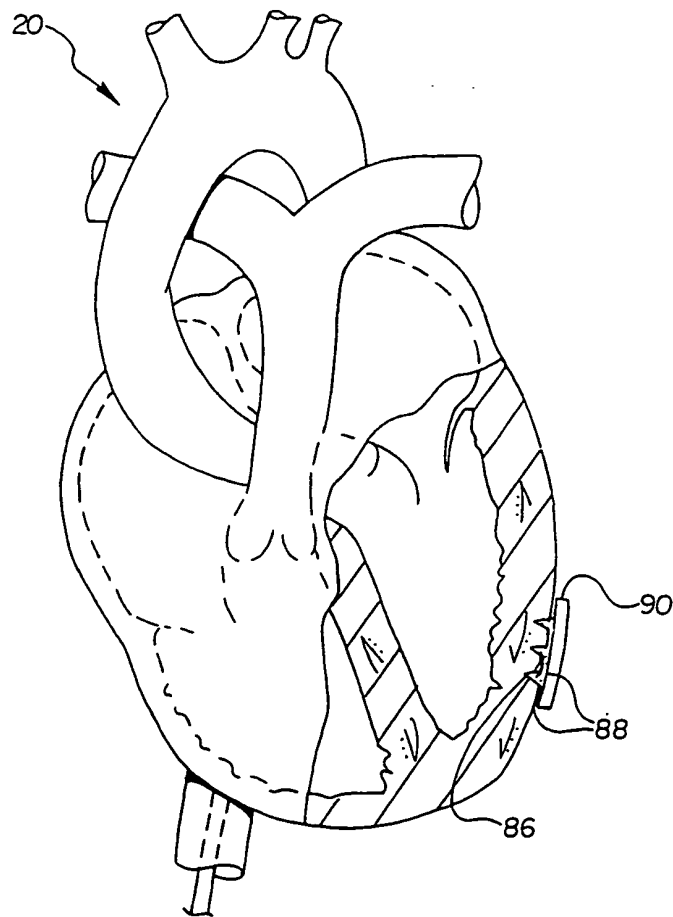
**Fig. 5**



**Fig. 4**



4/8

**Fig. 6**



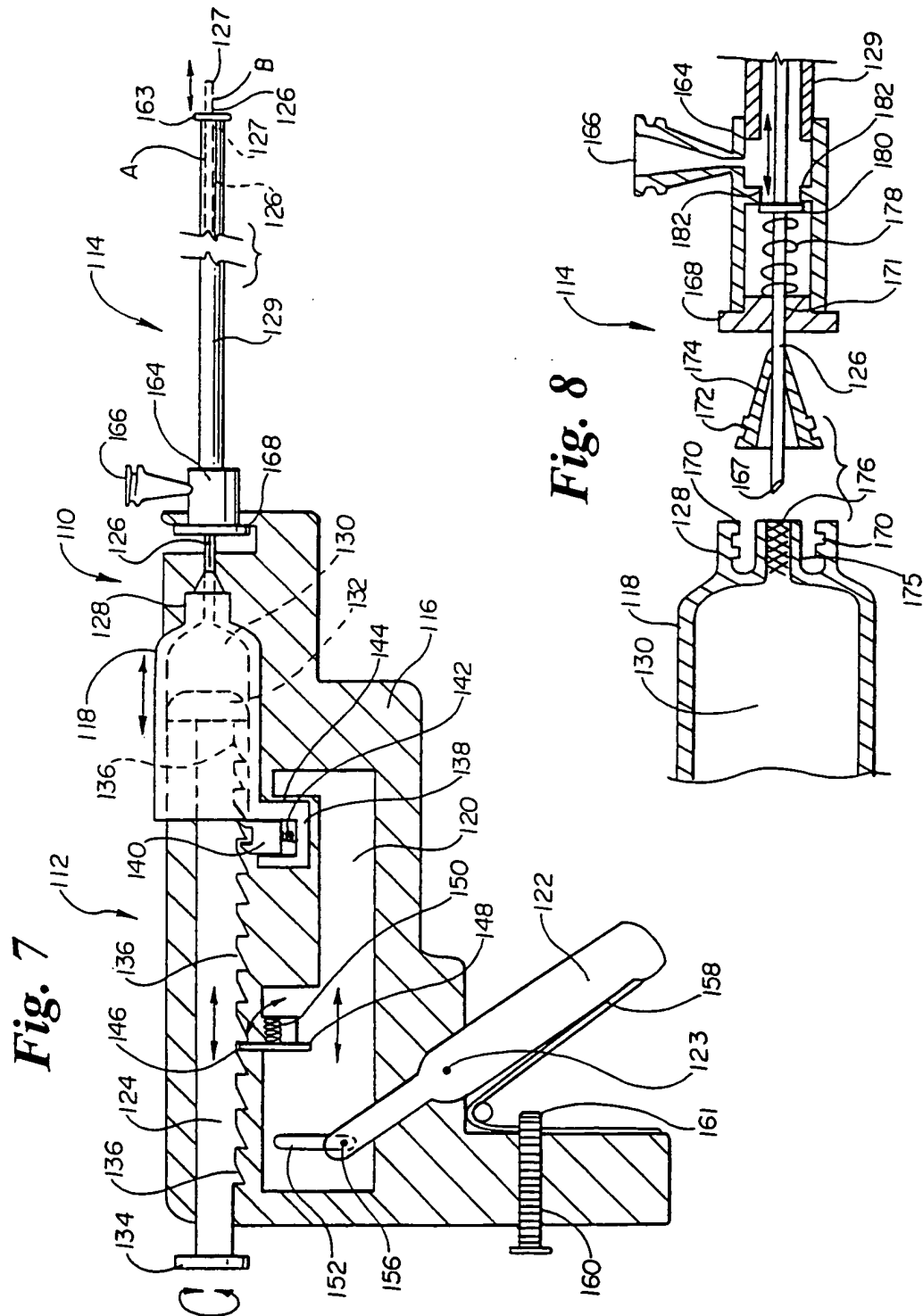


Fig. 9

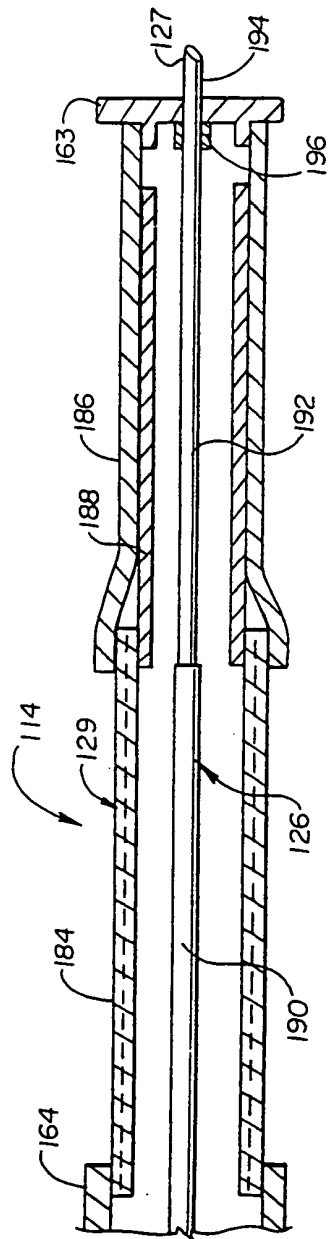


Fig. 10

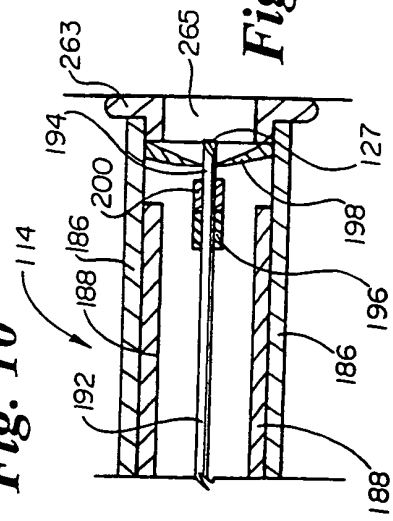


Fig. 11

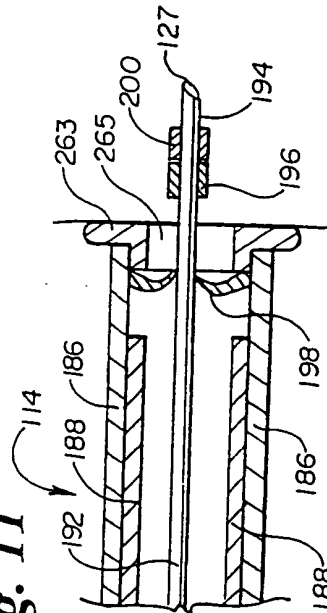
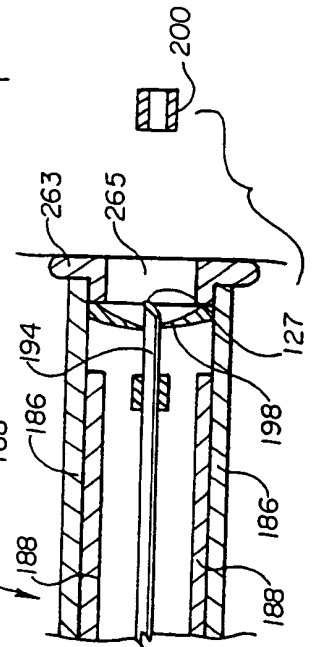
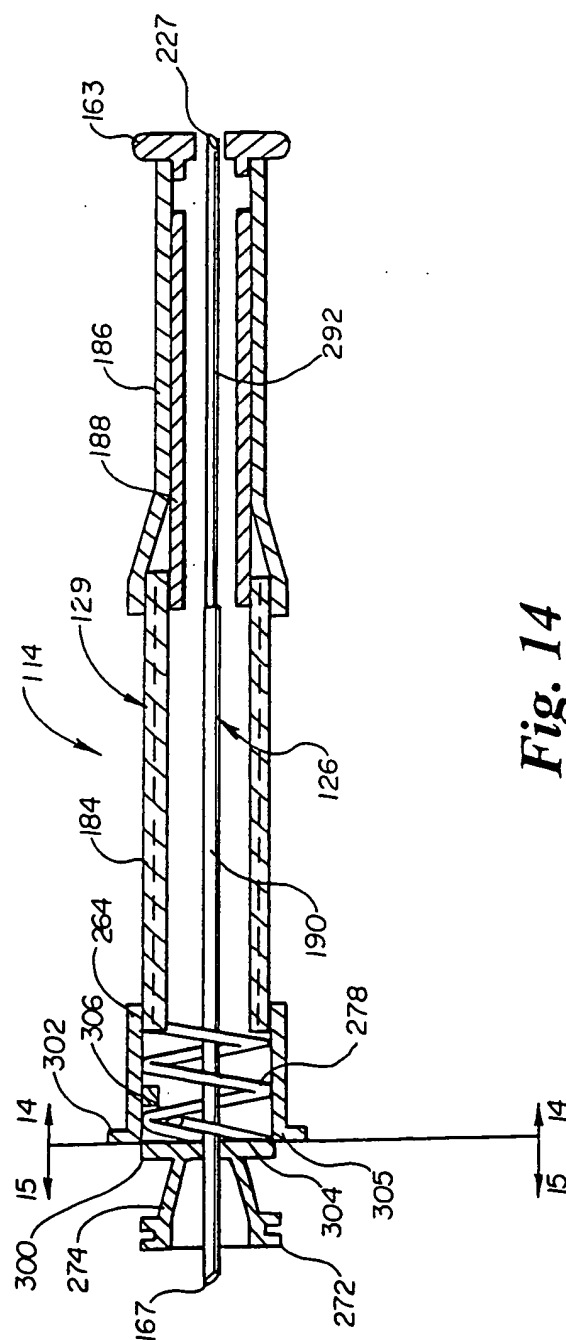


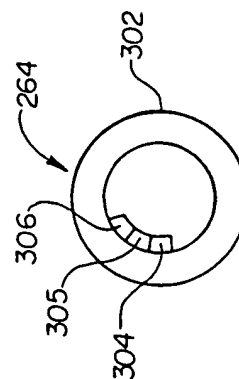
Fig. 12



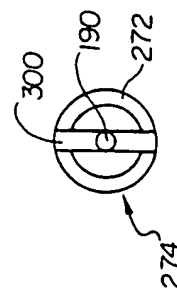
**Fig. 13**



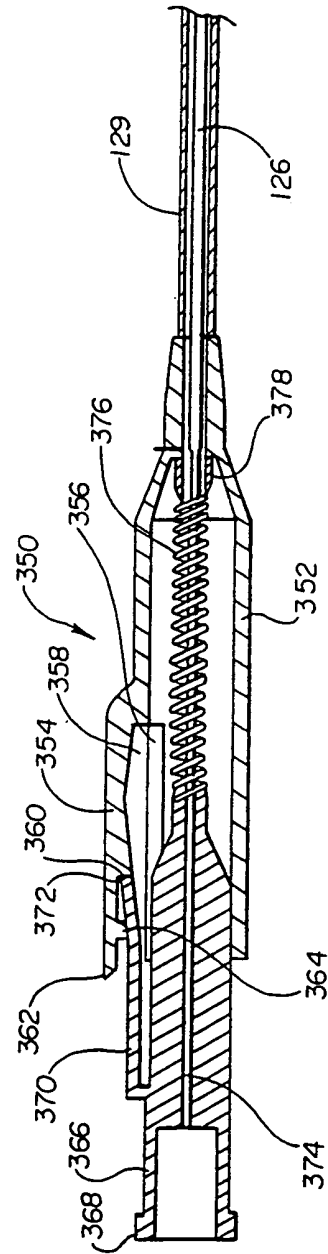
**Fig. 14**



**Fig. 15**



**Fig. 16**



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/23396

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :A61B 17/32  
US CL :606/167, 169

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 606/167, 169

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
growth factors, promoting vascularization, promoting blood perfusion

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,358,485 A (VANCE et al.) 25 October 1994, Fig. 1.	5-11, 31-38
Y	US 4,760,131 A (SUNDSMO et al.) 26 July 1988, col. 4 lines 16-52.	1-4
Y	US 5,569,462 A (MARTINSON et al.) 29 October 1996, col. 5 and 7.	12-30

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

11 JANUARY 1999

Date of mailing of the international search report

25 JAN 1999

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